

Report Status (as at 31 December 2021)

Audit Opinion	Audit Area	High Risk Recommendations		Status (If not Final)
		Proposed	Agreed	
<i>Fundamental / High</i>				
Effective	People and Communities – Commissioning & Procurement (cf. 2020/21)			Draft
	Resources – Income and Debtors			Issued
	Risk Based Verification (cf. 2020/21)			
	Housing Rents			
	Fraud, Bribery and Corruption			
Effective with opportunity for	Resources - Health and Safety (cf. 2020/21)			Drafts
	Social Services – Income and Debtors (cf. 2020/21)			Issued
	Adults, Housing and Communities - Pre-Contract Assurance			
	Cradle to grave audit			
	Purchasing Cards			
	Wellbeing of Future Generations (cf. 2020/21)			
	Creditor Payments in-Year Testing (cf. 2020/21)			
	PTE – Income and Debtors			
	Council Tax (cf. 2020/21)			
	Housing Benefit – Local Housing Allowance – Council Tax Reduction Scheme (cf. 2020/21)			
	IT Business Continuity (cf. 2020/21)			
	Education - SOP - Review of Band B			
	Directorate Risk Management (cf. 2020/21)			
	Disciplinary Policy			
	Special Leave			
	Treasury Management			
	Governance & Legal Services – Pre-Contract Assurance			
	Taxation (cf. 2020/21)			
Programme and projects risk management (cf. 2020/21)				
Insufficient with major	Mileage and Subsistence (cf. 2020/21)	1		Drafts
	Economic Development – Pre-Contract Assurance	1		Issued

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improvement	Education & LL – Pre-Contract Assurance	1			
	PTE – Pre-Contract Assurance	1			
	Establishment Reviews				
	Payment Card Industry (chip and pin devices) (cf. 2020/21)				
	Cardiff Bus – Governance relationship with Cardiff Council (cf. 2020/21)	1	1		
	Education – Asset Management (cf. 2020/21)	1	1		
	Contract Monitoring and Management (cf. 2020/21)				
Medium					
Effective with opportunity for improvement	Bilingual Cardiff			Draft	
	British Council Grants - Follow up			Issued	
	Glamorgan Archives				
	Concessionary Travel				
	Follow Up - Contracts in Waste Management (cf. 2020/21)				
	Audit of Scrutiny Functions (cf. 2020/21)				
	Members' Remuneration and Allowances				
	Follow Up - St Peters R.C Primary (cf. 2020/21)	2	2		
	Homelessness - Temporary Accommodation				
	Libraries				
	Marlborough Primary School				
	Mary Immaculate High School				
Insufficient with major needed	Children's Placements (cf. 2020/21)	1			Drafts
	Dominions Way Temporary Stores (cf. 2020/21)	2			Issued
	Waste Collections	1	1		
	Gatehouse (cf. 2020/21)	2	2		
	Follow up – Fly Tipping (cf. 2020/21)	2	2		
	Recycling in HWRCs (cf. 2020/21)	1	1		
	St. Cuthberts (cf. 2020/21)	4	4		
	Joint Equipment Service	1	1		
	Payments to Care Leavers	2	2		

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Unsatisfactory	Cardiff West Community High School	6		Draft
Grants / Accounts / External Bodies				
No assurance opinion given	Joint Committees - Prosiect Gwyrdd	Statement of Accounts Reviews / Certification / Support		
	Joint Committees - Port Health Authority			
	Joint Committees - Glamorgan Archives			
	Rumourless Cities Grant			
	Norwegian Church 2020/21			
	Cardiff Further Education Trust Fund 2020/21			
	Education Improvement Grant 2020/21			Drafts
Effective with opportunity for improvement	Education Improvement Grant 2019/20 (cf. 2020/21)			Issued
	Norwegian Church 2019/20 (cf. 2020/21)			
	City Deal 2020/21			
Other assignments				
No assurance opinion given	People and Communities - Pre-Contract Assurance	No recent contact awards – assurance to be obtained via wider audits under portfolio of Corporate Director		Pending wider audits
	Service and Process Consultancy – Welsh Government Grants	compliance, control and counter-fraud guidance		
	Purchasing and Payments - CRSA	Control Risk Self-Assessment (CRSA)		
	Payroll & HR - CRSA			
	National Fraud Initiative	Data matching counter-fraud exercise		